

Correlation of the Complement Decay Accelerating Factor, Tumour Necrosis Factors-alpha, and Interleukin-1 Beta with the Response to Rituximab in Rheumatoid Arthritis Patients

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Abstract

Rituximab (RTX) is one of the biological medications that has been used in the treatment of autoimmune diseases and cancer. However, a high percentage of patients may experience resistance to RTX therapy. The study aims to investigate the potential association of serum levels of the complement decay accelerating factor (DAF), as well as the pro-inflammatory cytokines, tumour necrosis factor-alpha (TNF- α) and interleukin-1 Beta (IL-1 β); with response to RTX treatment in rheumatoid arthritis patients. A cross-sectional study was conducted under specialized physician supervision in the Specialized Center of Rheumatology at Baghdad Teaching Hospital in Baghdad/Iraq. Ninety adult patients who were already diagnosed with rheumatoid arthritis and receiving RTX intravenous infusion, for at least six months, were enrolled in the study. The selected patients were either responders to RTX (45 patients), or non-responders to RTX (45 patients). The response to RTX was assessed according to the 28-joint Disease Activity Score (DAS28). The serum level of the DAF was significantly higher in RTX non-responders in comparison with RTX responders, (P-value <0.001). Similarly, serum levels of TNF- α and IL-1 β , were significantly higher in RTX non-responders in comparison with RTX responders, (P-value <0.001; for each). The serum level of the estimated markers showed a high significant correlation with the 6 months change in DAS28 (P-value <0.001; for each). The Cut-off values, sensitivity, and specificity of DAF, TNF- α , and IL-1 β in identifying responders to RTX were (≤ 417.58 $\mu\text{g/L}$, 97.8%, and 100%), (≤ 67.69 ng/L, 97.8%, and 100%), and (≤ 5.38 ng/L, 95.6%, and 95.6%), respectively. In conclusion, serum levels of DAF, TNF- α , and IL-1 β have good potential to be used as markers for the assessment of the response to RTX therapy in rheumatoid arthritis patients.

Keywords: DAF, IL-1 β , Response, Rheumatoid arthritis, Rituximab, TNF- α .

Introduction

Rheumatoid arthritis is a chronic autoimmune disorder that primarily targets the joints but may also have prominent extra-articular manifestations. It is characterized by persistent inflammation, leading to symptoms such as pain, swelling, stiffness, and joint damage. Over time, the inflammation and joint deterioration can result in disability if left untreated that has a detrimental effect on patients quality of life or work capability.⁽¹⁻³⁾ Rheumatoid arthritis is the most prevalent inflammatory joint disease globally. In 2020, more than 17 million people were reported to have rheumatoid arthritis worldwide⁽⁴⁾.

The etiopathogenesis of rheumatoid arthritis involves the interplay of predisposing genetic factors and environmental triggers that result in a disruption of immune tolerance and lead to synovial inflammation, occurring in a distinctive symmetric pattern⁽⁵⁻⁹⁾. The key pro-inflammatory cytokines in the pathogenesis of rheumatoid

arthritis, IL-1 and TNF- α act together to promote inflammation and matrix damage of the arthritic joints⁽¹⁰⁾. The complement system has essential roles in the clearance of circulating immune complexes; clearance of the trapped immune complexes in the articular tissues causes further damage in these tissues⁽¹¹⁾. However, persistent unwanted and unregulated activation complement system was reported to be associated with many inflammatory diseases including rheumatoid arthritis.⁽¹²⁾ The imbalance in complement regulation can lead to chronic inflammation in the joints in the context of rheumatoid arthritis⁽¹³⁾. Complement regulators are proteins that control the activation and amplification of the complement cascade.⁽¹⁴⁾ The decay-accelerating factor (DAF) is a complement regulatory protein that protects cells from complement-mediated lysis. It is expressed in a subgroup of fibroblast-like synoviocytes found in the synovial lining⁽¹⁵⁾.

The role of DAF in rheumatoid arthritis is rather complex. Expression of DAF has been correlated with development and progression of inflammatory rheumatoid arthritis in murine model⁽¹⁶⁾; whereas the opposite was reported in the immune-complex mediated rheumatoid arthritis model⁽¹⁵⁾. In human, DAF has been shown to be overexpressed on fibroblast-like synoviocytes isolated from patients with rheumatoid arthritis⁽¹⁷⁾. Holers *et al.* has suggested that DAF expression rises with inflammation, tissue damage and complement activation.⁽¹⁸⁾

Rituximab (RTX) is a chimeric monoclonal antibody that depletes B cells by binding to the CD20 molecule located on their surface. It is indicated for patients with severe active rheumatoid arthritis who failed to response or intolerant to one or more TNF inhibitors. However, RTX is also given as a first-line biological disease-modifying antirheumatic drug (DMARD) to patients with contraindications to TNF inhibitors. RTX is effective, safe, and well tolerated medication⁽¹⁹⁻²¹⁾. Despite effective depletion of circulating B cells in nearly all patients and complete resolution of inflammation in some of them, only half however respond to RTX treatment⁽²²⁾.

The study aims to investigate the serum levels of the complement regulator DAF; as well as of the pro-inflammatory cytokines, TNF- α and IL-1 β , to assess their potential association with response to RTX therapy in rheumatoid arthritis patients.

Patients and Methods

A cross-sectional study was conducted at the Specialized Center of Rheumatology at Baghdad Teaching Hospital in Baghdad/Iraq during the period from January to November 2023. Ninety adult patients who are already diagnosed with rheumatoid arthritis according to the revised 2010 American College of Rheumatology (ACR)/European League and Rheumatism (EULAR) classification criteria, selected under a consultant rheumatologist supervision, were enrolled in the study⁽²³⁾.

The enrolled patients were those who were on RTX for at least 6 months as a monotherapy; administered as two 1000 mg intravenous infusions, given 2 weeks apart, this cycle is repeated every 24 weeks. Patients receiving other DMARDs steroids or biological agents, and patients with chronic autoimmune diseases or malignancy were excluded.

The selected patients were either responders to RTX (45 patients), or non-responders to RTX (45 patients). The response to RTX was assessed according to the 28-joint Disease Activity Score (DAS28). According to DAS28, the tender joint count (TJC) and swollen joint count (SJC) in 28 joints, including the shoulder, elbow, wrist, knee, metacarpophalangeal and proximal interphalangeal

joints are recorded in addition to the measure of the visual analogue scale (VAS) of 100 mm, and erythrocyte sedimentation rate or C-reactive protein. The final value of DAS is calculated according to the following formula⁽²⁴⁾:

$$\text{DAS28 (CRP)} = 0.56 \times \sqrt{\text{TJC28}} + 0.28 \times \sqrt{\text{SJC28}} + 0.01 \times \text{GH} + 0.36 \times \ln(\text{CRP} + 1) + 0.96.$$

$$\text{DAS28 (ESR)} = 0.56 \times \sqrt{\text{TJC28}} + 0.28 \times \sqrt{\text{SJC28}} + 0.014 \times \text{GH} + 0.70 \times \ln(\text{ESR}),$$

A reduction of DAS28 by at least 0.6 and to a value less than 5.1 from the baseline score after 6 months of RTX therapy was considered indicative of clinical response. Patients who did not show such a reduction in DAS28 were considered non-responders⁽²⁵⁾. Sociodemographic data were collected from the patients by direct interview; clinical data were obtained from patient medical records.

Serum levels of DAF, TNF- α , and IL-1 β were measured by sandwich-type enzyme-linked immunosorbent assay (ELISA) using the corresponding human ELISA kits purchased from (Nanjing Pars Biochem CO. Ltd; China) according to the manufacturer's instructions. The measurements were performed using HumaReader HS microplate reader (Human Diagnostics, Wiesbaden; Germany)

Data analysis was conducted using the Statistical Package for the Social Science (SPSS) version 25 for Windows. The categorical data was presented as frequencies and percentages; the continuous data was presented as mean \pm standard deviation (SD). The normality of data distribution was assessed by Shapiro-Wilk test; the data were normally distributed and parametric tests were used for analysis. The independent t-test was performed to compare the means of continuous variables between the two groups of the study; while Fisher's exact test was performed to compare the categorical variables. Pearson's correlation was performed to study the correlation between variables. P-values less than 0.05 were accepted as significant.

Results and Discussion

The mean age of responders was 48.58 \pm 12.6 years, which was significantly lower than the mean age of non-responders (54.22 \pm 9.53 years); (P-value = 0.019). Most patients were female, only 5 (11.1%) were male in responders and only 1 (2.2%) was non-responder male; the sex of patients was not significantly different between the two study groups; (P-value = 0.203). Patients in the two study groups were significantly different with regard to family history, of first-degree relatives, to rheumatoid arthritis, (P-value <0.001); (95.6%) of

the RTX non-responders had a positive family history of rheumatoid arthritis. The body mass index (BMI) of RTX non-responders was significantly higher (30.49 ± 5.17 kg/m²) in comparison to that of the responders (28.09 ± 5.54 kg/m²), (P-value= 0.037). Erythrocytes sedimentation rate (ESR) and rheumatoid factor (RF) were significantly higher in the RTX non-responders, (P-value= 0.008 and <0.001; respectively). There was no significant difference among patients in the two study groups with regard to smoking status, serum levels of the hepatic enzymes, alanine transaminase (ALT) and aspartate transaminase (AST), and duration of rheumatoid

arthritis; (P-value >0.05 for each). The serum levels of ALT and AST of patients in the two study groups were within the reference range.

The baseline DAS28 (the reported score before 6 months) was significantly higher in the RTX responders (5.71 ± 0.80), in comparison with that of the non-responders (5.26 ± 0.99); (P-value 0.02). After 6 months of RTX therapy, DAS28 was significantly lower in the RTX responders (4.12 ± 1.6) in comparison with the non-responders (5.62 ± 0.8); (P-value <0.001). Finally, the RTX responders had a significant reduction in DAS28 after 6 months of RTX therapy compared to the non-responders (P-value<0.001) (Table1).

Table 1. Sociodemographic and clinical characteristics of patients according to RTX response

Variables		RTX responders (45)	RTX non-responders (45)	P-value
Age (year)		48.58±12.6	54.22±9.53	0.019*
Sex	Male	5 (11.1)	1(2.2)	0.203
	Female	40 (88.9)	44(97.8)	
Family history of rheumatoid arthritis	No	18(40)	2(4.4)	<0.001*
	Yes	27(60)	43(95.6)	
BMI (kg/m ²)		28.09±5.54	30.49±5.17	0.037*
Smoking status	No	43(95.6)	43(95.6)	1.0
	Yes	2(4.4)	2(4.4)	
ESR (mm/hour)		37.56±29.65	53.29±34.29	0.008*
RF (ng/L)		36.12±17.74	118.28±32.48	<0.001*
ALT (IU/L)		22.94±12.14	18.31±8.77	0.073
AST (IU/L)		20.76±7.39	20.66±8.44	0.775
Duration of disease (year)		11.86±9.43	9.09±5.18	0.318
Baseline DAS28		5.71±0.80	5.26±0.99	0.020*
Current DAS28		4.12±1.6	5.62±0.8	<0.001*
Change in DAS28		1.6±0.9	-0.36±0.7	<0.001*

Where BMI: body mass index; ESR: erythrocytes sedimentation rate; RF: rheumatoid factor; ALT: alanine transaminase; AST: aspartate transaminase; DAS28: 28-joint disease activity score; RTX: rituximab. Continuous data are presented as mean ± SD; categorical data are presented as frequency (%).* refers to a statistically significant difference with a P-value <0.05.

The response rate of women to rheumatoid arthritis therapies was reported to be lower than that of men^(26, 27). Nevertheless, the effect of sex on the response to RTX therapy exhibits inconsistent findings. A lower response rate in females than in males has been reported by the British Society for Rheumatology Biologics Register⁽²⁸⁾. In contrast, the French Autoimmunity and Rituximab registry showed that, after 12 months of RTX therapy, remission rates were higher in women who did not receive prior anti-TNF medications proposing that sex might not be the determinant of response to RTX therapy, but being naïve to anti-TNF medications⁽²⁹⁾. In an observational study, Mielnik *et al.* showed that there was no significant association between the therapeutic efficacy of RTX therapy in Norwegian rheumatoid arthritis patients and age; however, there was a slight

tendency towards a reduced response to RTX in patients aged over 65 years in the later period of the observation⁽³⁰⁾. The findings of the present study regarding age and sex in relation to response of RTX therapy were also in partial agreement with that of a retrospective study conducted in Spain, which reported no significant difference in sex and age in active rheumatoid arthritis patients between RTX-responders and RTX non-responders; some of the patients in this study were on other rheumatoid arthritis therapies, and some were receiving RTX as first-line biological therapy⁽³¹⁾. Obesity interferes with B cell development and leads to a dysregulated immune response⁽³²⁾. Both dysregulated cytokine secretion and hindered B cell development in obesity may contribute to the reduced effectiveness of RTX therapy. Ottaviani *et al.* have reported a negative association between

BMI and reduction in tender and swollen joint count during RTX treatment in rheumatoid arthritis patients; with a lack of association with other response parameters including the change in DAS28⁽³³⁾, which disagrees with the findings of the present study in this regard. The disparity in findings regarding age, gender and BMI of the present study from the aforementioned studies may be attributed, at least in part, to the fact that these studies were large retrospective cohort studies that enrolled patients over a long time interval, thus the treatment plans during the time of observation were subjected to modifications, compared to the present study.

The serum level of the DAF was significantly higher in the RTX non-responder patients (766.44 ± 231.59 $\mu\text{g/L}$) in comparison with the responder patients (245.2 ± 87.63 $\mu\text{g/L}$); (P-value < 0.001). Similarly, the serum levels of the proinflammatory cytokines were significantly higher in the RTX non-responders in comparison with responders; (P-value < 0.001 for each). Serum levels of TNF- α were (145.52 ± 36.03 ng/L) in the non-responders, and (40.8 ± 18.62 ng/L) in the responders; while, serum levels of IL-1 β were (8.73 ± 2.32 ng/L) in the non-responders, and (3.58 ± 1.6 ng/L) in the responders; as shown in Table 2.

Table 2. Serum levels of DAF, TNF- α , and IL-1 β according to RTX response

Variable	RTX responders (45)	RTX non-responders (45)	P-value
DAF ($\mu\text{g/L}$)	245.2 ± 87.63	766.44 ± 231.59	$< 0.001^*$
TNF- α (ng/L)	40.8 ± 18.62	145.52 ± 36.03	$< 0.001^*$
IL-1 β (ng/L)	3.58 ± 1.6	8.73 ± 2.32	$< 0.001^*$

Where RTX: rituximab; DAF: complement decay accelerating factor; TNF- α : tumour necrosis factor-alpha; IL-1 β : interleukin 1- beta. Data are presented as mean \pm SD. * refers to a statistically significant difference with a P-value < 0.05 .

The results of the present study regarding the complement regulatory protein DAF, are consistent with the findings of Viecceli *et al.* proposed that basal expression of complement regulatory proteins is a predictor of clinical response to RTX therapy in rheumatoid arthritis patients⁽³⁴⁾. The DAF is one of the complement regulatory proteins; it is a glycoprotein anchored on most cell types. A soluble form of DAF is also present in most body fluids. Both the membrane-bound and the soluble forms have a key role in protecting the host cell from complement-mediated lysis by accelerating the decay of C3 and C5 convertases, which are essential in the amplification of the classical and alternative complement cascade⁽³⁵⁾. Administration of RTX to rheumatoid arthritis patients causes fast and nearly total depletion of CD20-positive B cells in the bloodstream, yet only partial depletion in synovial tissue^(36, 37). The resistance of B cells to RTX action in synovial tissue may be attributed to the expression of protective factors including the complement regulators⁽³⁸⁾. It has been suggested that DAF expression levels on B cells may influence the response to RTX therapy. Higher DAF expression has been associated with resistance to RTX, while lower DAF expression has been linked to improved treatment outcomes⁽³⁹⁾. The major source of DAF in peripheral circulation is that released from neutrophils, monocytes, lymphocytes, and platelets⁽⁴⁰⁾. Makidono *et al.* reported that inflammatory cytokines increase the serum level and surface expression of DAF on

peripheral leukocytes in ulcerative colitis patients, and serum DAF level decreases following medical therapy⁽⁴¹⁾. In addition, the results of the present study regarding the proinflammatory cytokines, TNF α and IL-1 β , were in concordance with the results of Tcheta *et al.* who reported that the gene expression of TNF α and IL-1 β was negatively associated with the response to RTX⁽⁴²⁾. TNF- α and IL-1 β play a pivotal role in the pathophysiology of RA and exerts a diverse array of effects⁽¹⁰⁾; thus failure to respond to RTX is highly expected to be associated with elevated serum levels of those proinflammatory cytokines. The correlation study of serum levels of the complement regulator, DAF, with the demographic biochemical and clinical variables revealed that serum levels of DAF have a significant positive correlation with RF and the current DAS28; while they have a significant negative correlation with the change in DAS28 after RTX therapy. On the other hand, the correlation study of the serum levels of the proinflammatory cytokines with the demographic biochemical and clinical variables revealed that serum levels of both TNF- α and IL-1 β have a significant positive correlation with RF and the current DAS28; while they have a significant negative correlation with the baseline DAS28, and the change in DAS28 after 6 months of RTX therapy. While there were significant positive correlations between the serum levels of DAF with that of TNF- α and of IL-1 β ; as shown in Table 3.

Table 3. Correlation of the serum levels of the DAF, TNF- α , and IL-1 β with the demographic biochemical and clinical variables

Variable	DAF		TNF- α		IL-1 β	
	r	P-value	r	P-value	r	P-value
Age	0.202	0.056	0.206	0.052	0.131	0.219
BMI	0.183	0.084	0.179	0.091	0.204	0.054
Duration of disease	-0.207	0.050	-0.189	0.074	-0.192	0.070
RF	0.850	<0.001*	-0.115	0.297	-0.146	0.184
ALT	-0.192	0.079	0.041	0.708	-0.038	0.732
AST	-0.020	0.853	0.890	<0.001*	0.920	<0.001*
Baseline DAS28	-0.146	0.169	-0.263	0.012*	-0.254	0.016*
Current DAS28	0.534	<0.001*	0.501	<0.001*	0.472	<0.001*
Change in DAS28	-0.616	<0.001*	-0.670	<0.001*	-0.637	<0.001*
DAF	-	-	0.924	<0.001*	0.795	<0.001*

Where DAF: complement decay accelerating factor; TNF- α : tumour necrosis factor-alpha; IL-1 β : interleukin 1-beta; BMI: body mass index; RF: rheumatoid factor; ALT: alanine transaminase; AST: aspartate transaminase; DAS28: 28-joint disease activity score; r: Pearson's correlation coefficient. * refers to a statistically significant difference with a P-value <0.05.

with the disease activity markers is anticipated on the base of their role in the pathophysiology of rheumatoid arthritis. Several *in vitro* studies suggest a potential link between DAF and TNF α as well as IL-1 β in the context of different conditions; and that the proinflammatory cytokines lead to shedding of DAF from cell membrane surfaces⁽⁴³⁻⁴⁵⁾. Nevertheless, the exact nature of this correlation remains to be fully elucidated in rheumatoid arthritis. The receiver operating characteristic (ROC) curve was used to assess the potentials of serum levels of the studied complement regulator and the proinflammatory cytokines in determining non-response to RTX therapy in rheumatoid

arthritis patients. Serum levels of DAF, TNF- α , and IL-1 β showed very good potential in differentiating responders and non-responders; Table 4 illustrates the cut-off value, the sensitivity and specificity of those biomarkers in this regard. The positive correlation of serum DAF, TNF- α , and IL-1 β levels To the best of our knowledge, no previous study has assessed the use of serum levels of DAF, TNF- α , and IL-1 β as indicators of response to RTX therapy in rheumatoid arthritis patients; thus we could not compare the findings of the present study in this regards with others.

Table 4. ROC curve analysis of serum levels of DAF, TNF- α , and IL-1 β in identifying response to RTX therapy

Variables	AUC	Cut-off value	Sensitivity	Specificity	P-value
DAF ($\mu\text{g/L}$)	0.990	≤ 417.58	97.8	100	<0.0001*
TNF- α (ng/L)	0.988	≤ 67.69	97.8	100	<0.0001*
IL-1 β (ng/L)	0.970	≤ 5.38	95.6	95.6	<0.0001*

Where AUC: area under the curve; DAF: complement decay accelerating factor; TNF- α : tumour necrosis factor-alpha; IL-1 β : interleukin 1- beta. * refers to a statistically significant difference with a P-value <0.05.

Conclusion

The serum levels of DAF, TNF- α , and IL-1 β are higher in RTX non-responder rheumatoid arthritis patients, and they have good potential to be used in the assessment of the response to RTX therapy among patients with rheumatoid arthritis.

Conflicts of Interest

There is no conflict of interest.

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Ethics Statements

This study was performed according to the Helsinki Declaration and approved by the Ethical Committee of the College of Pharmacy, University

of Baghdad. All participants were informed about the purpose, and the expected benefits of the study before the agreements of participation were documented.

Author Contribution

The authors confirm their contribution to the paper as follows: study conception and design: Haidar Mohammed Hussein, Ali Abdulhussain Kasim; data collection: Haidar Mohammed Hussein ; analysis and interpretation of results: Ali Abdulhussain Kasim; draft manuscript preparation: Haidar Mohammed Hussein. All authors reviewed the results and approved the final version of the manuscript.

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الارتباط بين عامل تسريع الانحلال للنظام المتمم، عامل نخر الورم ألفا، والإنترلوكين 1- بيتا مع الاستجابة للريتوكسيماب في مرضى التهاب المفاصل الرثوي

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الخلاصة

ريتوكسيماب هو أحد الأدوية البيولوجية التي تم استخدامها في علاج أمراض المناعة الذاتية والسرطان. ومع ذلك، قد يواجه نسبة عالية من المرضى مقاومة للعلاج. تهدف هذه الدراسة الى تقييم الارتباط المحتمل لمستويات المصل لعامل تسريع الانحلال للنظام المتمم، بالإضافة إلى السيتوكينات المحرزة على الالتهابات (عامل نخر الورم ألفا والإنترلوكين 1- بيتا) مع الاستجابة لعلاج ريتوكسيماب لدى مرضى التهاب المفاصل الرثوي. أجريت هذه الدراسة المقطعية تحت إشراف طبيب اختصاص في المركز التخصصي لأمراض المفاصل في مستشفى بغداد التعليمي في بغداد / العراق. تم اختيار 90 مريضاً بالغاً مصاباً بالتهاب المفاصل الرثوي وبتلقون حقن ريتوكسيماب لمدة ستة أشهر مستمرة على الأقل للمشاركة في الدراسة. كان المرضى المختارون إما مستجيبين للريتوكسيماب (45 مريضاً)، أو غير مستجيبين للريتوكسيماب (45 مريضاً). تم تقييم الاستجابة للريتوكسيماب وفقاً لمؤشر درجة نشاط المرض في 28 مفصلاً. كان مستوى عامل تسريع الانحلال للنظام المتمم في المصل أعلى وبدلالة احصائية لدى غير المستجيبين للريتوكسيماب مقارنة بالمستجيبين للريتوكسيماب (قيمة الاحتمالية=0.001). وبشكل مشابه كانت مستويات عامل نخر الورم ألفا والإنترلوكين 1- بيتا في المصل أعلى وبدلالة احصائية لدى غير المستجيبين للريتوكسيماب مقارنة بالمستجيبين للريتوكسيماب (قيمة الاحتمالية=0.001 لكل منهما). أظهر مستوى هذه العوامل في المصل ارتباطاً ذو دلالة احصائية عالية مع التغير الحاصل لمؤشر درجة نشاط المرض في 28 مفصلاً خلال 6 أشهر (قيمة الاحتمالية=0.001 لكل منهم). كانت القيم الحدية ومقاييس الحساسية والنوعية لعامل تسريع الانحلال للنظام المتمم وعامل نخر الورم ألفا والإنترلوكين 1- بيتا في تحديد الاستجابة للريتوكسيماب (17,08 ميكروغرام / لتر، 97,8%، و 100%) و (67,69 نانوغرام / لتر، 97,8%، و 100%) و (5,38 نانوغرام/لتر، 95,6%، و 95,6%)، على التوالي. وكاستنتاج فمن الممكن استخدام مستويات المصل لعامل تسريع الانحلال للنظام المتمم وعامل نخر الورم ألفا والإنترلوكين 1- بيتا كعلامات لتقييم الاستجابة لعلاج الريتوكسيماب في مرضى التهاب المفاصل الرثوي.

الكلمات المفتاحية: عامل تسريع الانحلال للنظام المتمم، الإنترلوكين 1- بيتا، الاستجابة، ريتوكسيماب، التهاب المفاصل الرثوي، عامل نخر الورم ألفا